

# Association of Tumor Angiogenesis With Bone Marrow Micrometastases in Breast Cancer Patients

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**Background and Purpose:** The microscopic detection of tumor cells (micrometastases) in bone marrow and the extent of blood vessel formation (angiogenesis) in primary tumor specimens are recognized as independent prognostic markers in patients with breast cancer. Since micrometastases occur as a consequence of interaction between the neoplastic cells and the tumor neovasculature, we have examined the relationship between these markers to determine whether the degree of angiogenesis is related to the presence of micrometastases. **Methods:** Micrometastases were identified in bone marrow aspirates collected from multiple sites in 214 breast cancer patients prior to surgery (mastectomy or lumpectomy). Tumor cells were detected through an examination of epithelial membrane antigen expression and an analysis of cell morphology. Tumor vascularity was graded semiquantitatively or quantitatively (Chalkley point count) after immunohistochemical staining of the CD31 antigen expressed by the endothelial cells. The reproducibility and accuracy of the vascular grading were validated by use of kappa statistics. Associations between micrometastases and clinicopathologic characteristics, including angiogenesis, were examined using chi-squared and logistic regression techniques. All tests of statistical significance were two-sided. **Results:** Of the 214 patients, 42 (20%) were positive for bone marrow micrometastases and 75 (35%) had tumors of high vascular grade. There was 86% agreement between vascular grades assessed twice for 35 tumors (kappa statistic = 0.66); for 22 evaluated tumors, there was absolute concordance between vascular grade and Chalkley point count. There were significant positive associations between tumor angiogenesis and micrometastasis ( $P = .01$ ), tumor grade ( $P = .003$ ), and estrogen receptor expression ( $P = .007$ ); however, no significant associations were observed with tumor size ( $P = .9$ ), lymph node status ( $P = .33$ ), vascular invasion (peritumoral blood or lymph vessels) ( $P = .9$ ), menopausal status ( $P = .17$ ), or age ( $P = .12$ ). Adjusting for confounding

factors, multivariate analysis showed that only tumor angiogenesis (odds ratio = 2.7;  $P = .016$ ) and vascular invasion (odds ratio = 2.7;  $P = .012$ ) were significant determinants for the presence of micrometastases. **Conclusions:** This study suggests that an assessment of tumor angiogenesis and vascular invasion gives a reliable indication of the likelihood of the presence of bone marrow micrometastases in patients with breast cancer and that both processes contribute to metastases. [J Natl Cancer Inst 1997;89:1044-9]

Despite apparent curative surgery, a large proportion of lymph node-negative breast cancer patients will die of metastatic disease that is undetected by conventional methods at presentation. In some studies, bone marrow micrometastases identified by immunohistochemistry at primary surgery have predicted disease progression and have been associated with a significant reduction in relapse-free and overall survival in several tumor types, including breast carcinomas (1-8). Therefore, it has been suggested that examination for bone marrow micrometastasis in breast cancer patients would be useful as a prognostic marker and would help to define and monitor patient groups who are at high risk and who would benefit from adjuvant therapy.

Angiogenesis, the development of new vessels from the existing vasculature, is essential for tumor growth (9). The angio-

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genic activity of a tumor as assessed by microvessel density has been shown to be a powerful independent prognostic factor in many tumor types, including breast carcinoma (10-12). Because interaction between this neovasculature and neoplastic cells results in metastasis, we have examined the relationship between angiogenesis and bone marrow micrometastases in a series of breast cancer patients to determine whether the extent of angiogenesis is related to the presence of bone marrow micrometastases.

## Subjects and Methods

**Patients.** From a cohort of female patients with primary breast cancer in which the relationship between bone marrow micrometastases and other clinicopathologic features had been previously reported (13), 214 with data and material were available for use for this study. This series of patients is, however, different from those studied by our group for quantitative assessment of angiogenesis. The patient group had a median age of 61 years (range, 26-85 years), had been previously treated by mastectomy or lumpectomy (63 and 151 patients, respectively) depending on the size and site of the tumor, and had been administered adjuvant hormonal and chemotherapy treatment (36 and 52 patients, respectively). Written informed consent was obtained from the patients, and the protocol was approved by the Hospital Ethical Committee. Estrogen receptor expression was measured by the dextran-coated charcoal method (14). Vascular invasion was defined as the presence of tumor cells in blood and/or lymph vessels in or around the tumor. The clinicopathologic characteristics of the patients are summarized in Table 1.

**Tumor angiogenesis.** Tumor vascularity was measured in the primary tumors of 214 patients by vascular grading. Sections of tumors were cut onto slides coated with silane (Sigma Chemical Co., Poole, Dorset, U.K.) and predigested with 12.5 mg of protease type XXIV (Sigma Chemical Co.) per 100 mL phos-

phate-buffered saline for 20 minutes at 37 °C before application of the primary mouse anti-CD31 antibody JC70 (Dako Ltd., High Wycombe, Bucks., U.K.) at 16 µg/mL and labeling by the alkaline phosphatase anti-alkaline phosphatase method (15). Tumors were classified into high and low/medium categories as previously described (16). Briefly, tumor sections were scanned at low power (×40-100) for the three areas of highest vascularity under a conference microscope by two observers who were blinded to the clinicopathologic data obtained from the patients. These sections were then examined at high power (×250-400) and placed into one of the two categories discussed above by use of a semi-quantitative subjective score that has been previously shown to be significantly correlated with microvessel density and Chalkley point counting (16). On two separate occasions, we reassessed 35 cases to measure reproducibility; we also assessed 22 tumors (from 10% of the patient series) by the Chalkley count to ensure quantitative accuracy (16). The Chalkley count uses an eyepiece with random dots, and the score is made by counting the coincidence of vessels with eyepiece dots. This technique provides a measure of area occupied by blood vessels. The cutoff of high versus low/medium categories in the statistical analysis was used and in previous studies, as in this one, was shown to group patients into thirds (16). This procedure, therefore, avoids assumptions about relationships between tumor vascularity and other variables.

**Bone marrow micrometastases.** Approximately 16 mL of bone marrow aspirated from eight sites immediately prior to surgery was examined for micrometastasis. Bone marrow was prepared as previously described and stained with antibodies to epithelial membrane antigen (17). Patients were considered positive for micrometastasis only if cells expressed epithelial membrane antigen and were morphologically malignant.

**Statistical analysis.** Chi-squared tests were used to examine the relationship between angiogenesis and clinicopathologic variables. Logistic regression techniques with *P* values based on the likelihood ratio statistic were used to evaluate the role of angiogenesis as a predictor of micrometastasis after adjusting for factors known to be determinants of an individual's risk of micrometastases at diagnosis. Only those patient-tumor variables previously found to be associated with micrometastasis in the complete cohort of 350 patients were considered

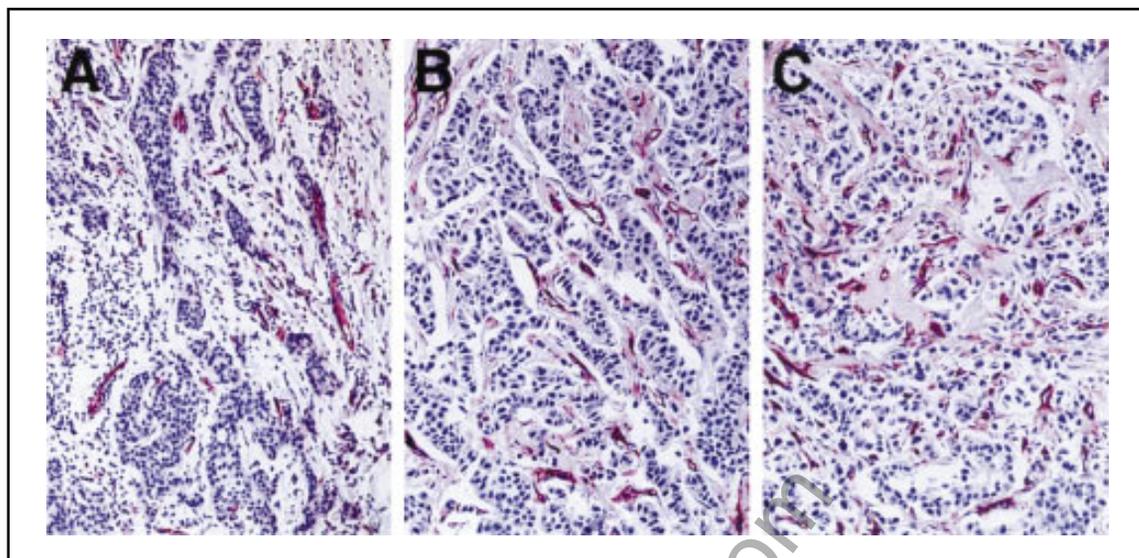
**Table 1.** Contingency table comparing angiogenesis with the clinicopathologic characteristics of breast cancer patients\*

Characteristic	Angiogenesis		Total No.	Statistical analysis†
	Low	High		
Age, y				
<50	31	23	54	$\chi^2 = 2.48$ ; df = 1; <i>P</i> = .12 (trend)
50-64	51	29	80	
≥65	57	23	80	
Lymph node status				
Negative	65	31	96	$\chi^2 = 0.96$ ; df = 1; <i>P</i> = .33
Positive	61	39	100	
Tumor size, cm				
≤2	65	37	102	$\chi^2 = 0.00$ ; df = 1; <i>P</i> = .9
>2	64	36	100	
Vascular invasion				
Positive	58	32	90	$\chi^2 = 0.18$ ; df = 1; <i>P</i> = .9
Negative	81	43	124	
Grade (42)				
I	33	11	44	$\chi^2 = 8.78$ ; df = 1; <i>P</i> = .003 (trend)
II	71	32	103	
III	22	27	49	
Micrometastases				
Positive	20	22	42	$\chi^2 = 6.65$ ; df = 1; <i>P</i> = .01
Negative	119	53	172	
ER expression,‡ fmol/mg protein				
<15	100	41	141	$\chi^2 = 7.48$ ; df = 1; <i>P</i> = .007
≥15	34	32	66	
Menopausal status				
Premenopausal	27	19	46	<i>P</i> = .17
Postmenopausal	104	50	154	

\*Where total numbers do not equal 214, data are unavailable.

†df = degrees of freedom. All statistical tests were two-sided.

‡ER = estrogen receptor.



**Fig. 1.** Three invasive ductal carcinomas stained with anti-CD31 antibody JC70, demonstrating low (A), medium (B), and high (C) vascular grades (original magnification  $\times 250$ ).

(13), i.e., tumor size, lymph node status, vascular invasion, and estrogen receptor expression. All statistical tests were two-sided.

## Results

The intensity of staining in endothelial cells in individual tumors was fairly homogeneous, but the vascularity of tumors differed such that 139 tumors (65%) were scored as low/medium vascular grade and 75 were scored as high (35%) (Fig. 1). In 35 tumors that were evaluated twice, there was 86% agreement between the initial and the repeat vascular grading (kappa statistic = 0.66). The discrepancies were coded according to the initial score. There was absolute concordance in 22 tumors between vascular grade and Chalkley count by use of a cutoff of  $\geq 7$  [a value previously demonstrated to be significantly associated with a poor prognosis (16)]. Of the total of 214 tumors, 42 (20%) were positive for bone marrow micrometastasis and 172 (80%) were negative.

There was a significant positive association between angiogenesis at the primary tumor site and micrometastasis ( $P = .01$ ), tumor grade ( $P = .003$ ), and estrogen receptor (ER) expression ( $P = .007$ ), but no significant association was observed with tumor size ( $P = .9$ ), lymph node status ( $P = .33$ ), lymphatic and/or blood vessel invasion ( $P = .9$ ), menopausal status ( $P = .17$ ), or age ( $P = .12$ ) (Table 1).

Previous analyses in the full patient cohort have demonstrated that lymph node involvement, tumor size, vascular invasion, and ER expression are each associated with micrometastases (13). To determine whether angiogenesis retains its relationship to micrometastasis, after adjusting for these particular confounding factors, a multivariate analysis was performed that gave an estimate of the odds ratio (unadjusted odds ratio = 2.5; 95% confidence interval = 1.2-4.9) similar to the unadjusted value. Since in a univariate analysis vascular invasion has the strongest association with micrometastases (13), this was the first factor used to generate the multivariate model (Table 2). After adjustment for this variable, lymph node status and tumor size no longer exhibited a statistically significant association with micrometastasis. Therefore, most of the effect of lymph node involvement and tumor size on the risk of micrometastases appears to be explained by their associations with vascular

invasion. No interaction or synergy was observed between vascular invasion and angiogenesis in patients positive for micrometastasis (Table 3). In a new model adjusting only for the factors that had  $P < .1$  in the full multivariate analysis (Table 2), angiogenesis retained its predictive power (Table 4).

## Discussion

This study has examined the association between primary tumor angiogenesis and the presence of bone marrow micrometastases.

**Table 2.** Multivariate analysis examining relationship between micrometastases and other clinicopathologic variables\*

Variable	Odds ratio	95% confidence interval	Two-sided <i>P</i>
Vascular invasion			
Negative	1.0		
Positive	2.8	1.2-6.7	.018
Grade (42)			
I	1.0		
II	3.7	0.8-17.9	.1
III	2.3	0.4-12.7	.35
Angiogenesis			
Low	1.0		
High	2.8	1.2-6.4	.015
ER expression, † fmol/mg protein			
$\geq 15$	1.0		
$< 15$	2.2	0.9-5.1	.08
Lymph node status			
Negative	1.0		
Positive	1.04	0.4-2.5	.93
Size, cm			
$\leq 2$	1.0		
$> 2$	1.4	0.6-3.4	.44

\*Multivariate analysis (logistic regression) of 177 breast cancer patients for whom complete data are available. We examined the relationship between micrometastases and other clinicopathologic variables that have been previously shown to be significantly associated with micrometastases (13) and angiogenesis.

†ER = estrogen receptor.

**Table 3.** Number and percentage of patients positive for micrometastases for each combination of angiogenesis (low/medium or high) and vascular invasion (positive or negative)

Vascular invasion	Angiogenesis*	
	Low/medium	High
Positive	13/58 (22)	14/32 (44)
Negative	7/81 (9)	8/43 (19)

\*Values in columns = number of patients positive for micrometastases/total number of patients (%).

tastasis together with conventional clinicopathologic characteristics in a series of breast cancer patients. We used a vascular grading system (12,16) to quantify tumor angiogenesis and epithelial membrane antigen in conjunction with morphology to identify micrometastasis, the latter giving a positive identification rate within the range observed in other studies, i.e., 4%-48% (3,6,18-22).

Only tumor angiogenesis and vascular invasion gave independent information about the likelihood of micrometastasis by use of a multivariate analysis after adjusting for confounding variables. Furthermore, the observation that tumor angiogenesis was not significantly associated with vascular invasion is consistent with our current understanding of tumor dissemination and supports the concept that cooperating, separate pathways are required for tumor metastasis.

The significant positive association between high angiogenesis and the presence of bone marrow micrometastasis is likely to be partly due to the larger endothelial surface area with which the tumor cells can interact. This theory is supported by the positive association observed between microvessel density and the number of intraoperative, circulating tumor cells in breast cancer patients (23). Although the prognostic significance of

**Table 4.** Multivariate analysis of 189 breast cancer patients: relationship between micrometastases and angiogenesis after adjusting for grade, estrogen receptor (ER) expression, and vascular invasion\*

Variable	Odds ratio	95% confidence interval	Two-sided P
Grade (42)			
I	1.0		
II	4.9	1.1-22.8	.04†
III	2.5	0.5-13.2	.3†
Angiogenesis			
Low	1.0		
High	2.7	1.2-5.9	.016
ER expression, fmol/mg protein			
≥15	1.0		
<15	2.0	0.9-4.7	.1
Vascular invasion			
Negative	1.0		
Positive	2.7	1.3-6.0	.012

\*Multivariate analysis of data from 189 patients for whom complete data were available. Unadjusted odds ratio for angiogenesis: 2.5 (95% confidence interval = 1.2-4.9).

†These unusual estimates probably reflect the relatively small sample.

shed tumor cells is unknown (23), the positive association between high tumor angiogenesis and both micrometastasis and shedding suggests that the poor prognostic outcome in this patient group is likely related to a combination of enhanced tumor growth and access to the circulation.

Nevertheless, as indicated above, the presence of tumor vessels alone is not sufficient for metastasis to occur. The malignant cells must cross the specialized tumor stroma and interact with the neovasculature to access the circulation. There is considerable evidence demonstrating that, for a tumor to acquire a metastatic phenotype, it must modulate a variety of genes involved in stromal invasion (24). We have shown the requirement for vascular invasion to facilitate tumor metastasis in mouse xenograft models. The transfection of breast tumor cell lines with genes promoting angiogenesis, such as vascular endothelial growth factor, increases tumor vascularity and growth but does not alter the metastatic rate (25). In contrast, the transfection of genes enhancing stromal proteolysis, e.g., components of the urokinase plasminogen activator system, results in an increase in metastasis (26). Thus, both pathways are required to generate metastasis, one providing the framework and the other providing a mechanism to penetrate this structure. Indeed, in breast cancer patients, the urokinase plasminogen activator and angiogenesis have each been shown to be independent prognostic factors (11,12,27). Since similar protease systems are used for both invasion and angiogenesis (28), it is likely that patients with tumors with high vascularity and prominent vascular invasion might benefit from protease inhibitors as adjuvant therapy.

Once tumor cells have gained access to the circulation, they must colonize distant sites. The concept of dormancy is well recognized, and in an attempt to predict the likelihood of progression, the expression of oncogenes (29), adhesion molecules (30), members of the urokinase plasminogen activator system (31,32), major histocompatibility complex molecules (33,34), and proliferation index (29) have been studied. Although these studies are still in their infancy, there are data to suggest that these tumor cell characteristics will not differentiate between dormant and active micrometastases (35,36) and that the net growth rate and progression of micrometastases are determined by the angiogenic phenotype of the tumor. When angiogenesis is suppressed, the tumor cell proliferation rate matches the apoptotic rate (35), resulting in dormant tumors that are unable to grow beyond 2-3 mm in diameter, the diffusion range of the existing vessels (9). When the dormant micrometastasis assumes an angiogenic phenotype and establishes a vascular network, the apoptotic rate is reduced without a concomitant effect on tumor cell proliferation, enabling net tumor growth (35-37). Thus, a more successful strategy to predict clinical progression might be to measure the angiogenic activity of tumor metastasis, the net result of the balance between inhibitors and stimulators. By measurement of the levels of these inhibitors and stimulators in micrometastases, the serum (38,39), and/or urine (40,41), it might be possible to establish when this switch has occurred and to predict when micrometastases will become clinically important.

In the meantime, this study suggests that the assessment of tumor vascularity and vascular invasion gives a reliable indication of the likelihood of the presence of micrometastases and that

the extent of angiogenesis might be useful as a surrogate marker for the capacity of a tumor to metastasize.

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